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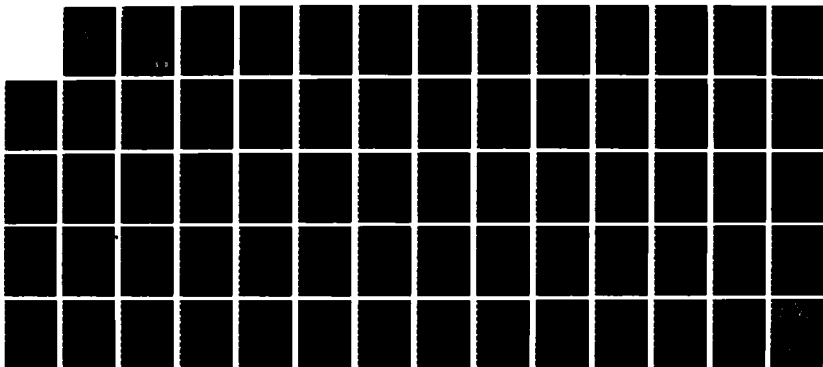
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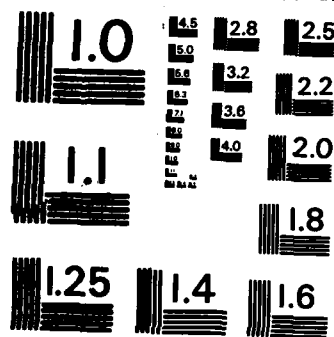
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## ABSTRACT

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ANALYSIS OF A DOUBLY COUPLED SINGLE FIBER RESONATOR

BY

RICHARD LEE GLOVER, BSEE

ILT, USAF

64 pages

THESIS

Presented to the Faculty of the Graduate School of  
The University of Texas at Austin  
in Partial Fulfillment  
of the Requirements  
for the Degree of

MASTER OF SCIENCE IN ENGINEERING

THE UNIVERSITY OF TEXAS AT AUSTIN

December 1985

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## VITA

Richard Lee Glover was born at a U.S. Army hospital on November 27, 1959 in Fountainbleu, France. His parents are William and Kitty Glover. Upon graduation from Parkland High School, El Paso, Texas, he attended West Texas State University and the University of Texas at El Paso (UTEP). After receiving an AFROTC scholarship he graduated from UTEP with a Bachelor of Science in Electrical Engineering in May, 1982.

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Approved by:

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November 22, 1985

## ABSTRACT

An analysis of a doubly coupled single fiber resonator has been made. The addition of a second coupler to a typical single coupler resonator with less than 100 percent coupling is shown to greatly improve the finesse and sensitivity to fiber attenuation of the resonator. A simple method of achieving extremely high finesse with approximately 70 percent coupling is also shown. With typical fiber and coupler loss values from the literature included in the calculations, this device shows a minimum detectable signal on the order of 20 picometers as a microbend sensor and .17 microradians per second as a laser gyroscope.

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## I. INTRODUCTION

The use of evanescent wave coupling in optical fibers allows for the design and implementation of elaborate feedback networks. Since reflections do not occur at the coupling interface, the analysis of these devices is quite straightforward and leads to some very useful results.

One of the dominant applications of fiber optic technology is in the sensor research area. Most fiber optic sensors can be divided into two broad categories: those that employ an amplitude modulation mechanism for detection and those that employ a phase modulation mechanism [3]. Application of these mechanisms to sensor devices include interferometric acoustic sensors [2], fiber microbend acoustic sensors [18], fiber optic accelerometers [19], magnetic field sensors [20], and fiber optic gyroscopes [14, 15]. Another rapidly growing application area is signal processing [12], specifically the use of fibers to form a recirculating delay line [10] and the use of an evanescently coupled feedback network to perform matrix multiplication [11].

The purpose of this thesis is to analyze a particular resonant feedback structure and describe its unique characteristics. Application of this device as a microbend sensor will be discussed in detail and suggestions made for other applications.

## II. SIMILAR STRUCTURES

In general, resonators are extremely sensitive to losses in the feedback path. Since low loss fiber is readily available, the key component in the development of a high finesse fiber optic resonator is a low loss coupler. In reference [6], a mechanical lapping technique for the fabrication of a single-mode fiber optic directional coupler was introduced. The coupling in this device was tunable and achieved a power transfer of 70 percent with less than 10 percent loss. When this coupler was first used in an all-single-mode fiber resonator [8], a finesse of 80 was achieved. The dominant loss in this structure was the coupler insertion loss, approximately 3.2 percent.

In ref. [7], a refined mechanical lapping technique was used to fabricate a low loss coupler. This tunable device coupled up to 99.8 percent of the input power with losses approaching .1 percent. In addition,



the authors found that the scattering loss varied with the coupled power. This low loss coupler was used to construct a low loss fiber ring resonator [9]. For a one meter loop loss of .41 percent, the authors measured a finesse of approximately 500 with a coupler loss of .22 percent. Therefore, for the first time, the resonator loss was dominated by the fiber loss rather than the coupler loss.

The development of these low loss fiber resonators will open the door to a whole new family of sensors and optical processing devices. These published results will be applied to the derivations in the applications section. This will allow for a realistic simulation of the device's operation.

### III. GENERAL DEVICE DESCRIPTION

The main feedback structure of this device can be constructed from a single length of optical fiber. A general diagram is shown in Figure 1. The supplemental paths A and B can be implemented by using separate lengths of fiber or placing a loop in the main fiber. Note that this design reduces to a simple resonator, described in Section II, when there is zero coupling at CP1 and the supplemental paths are removed.

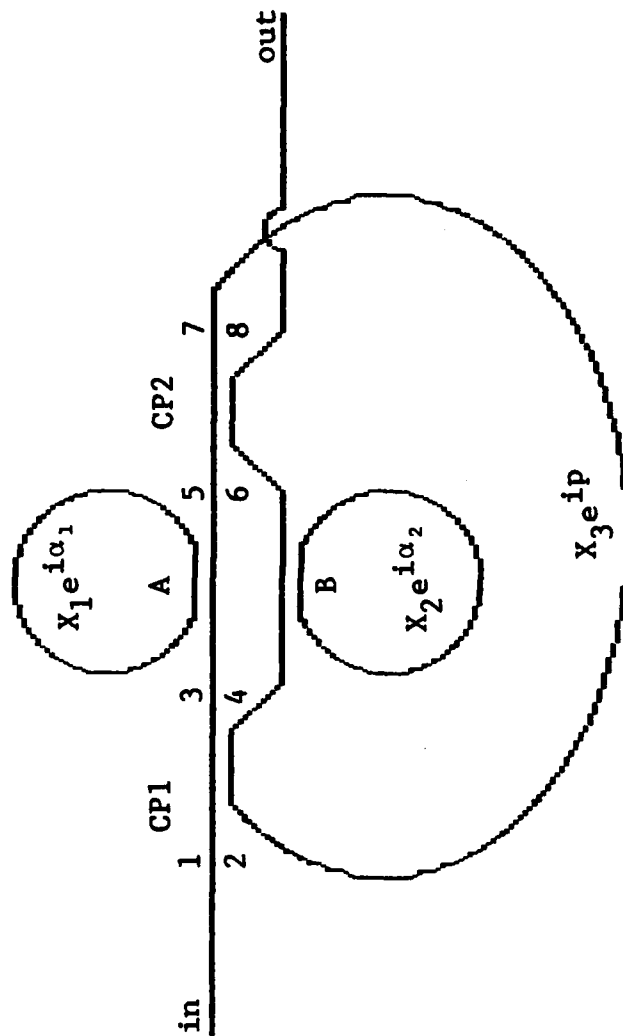


Figure 1: General Diagram of the Double Coupler Device

Using coupled mode theory [1], the evanescent wave coupling that occurs at couplers one and two, CP1 and CP2 respectively, can be described by the following equations:

$$E_3 = t_1 E_1 + i r_1 E_2 \quad (1)$$

$$E_4 = i r_1 E_1 + t_1 E_2 \quad (2)$$

$$E_7 = t_2 E_5 + i r_2 E_6 \quad (3)$$

$$E_8 = i r_2 E_5 + t_2 E_6 \quad (4)$$

$$r^2 + t^2 + \gamma = 1 \quad (5)$$

where:  $r^2$  = coupled power

$t^2$  = through put power

$\gamma$  = coupler power loss

The feedback loop and supplemental paths provide the following additional relationships:

$$E_5 = x_1 e^{i\alpha_1} E_3 \quad (6)$$

$$E_6 = x_2 e^{i\alpha_2} E_4 \quad (7)$$

$$E_2 = x_3 e^{ip} E_7 \quad (8)$$

where:  $x_1$  = transmission coefficient of path A

$\alpha_1$  = phase shift in path A

$x_2$  = transmission coefficient of path B

$\alpha_2$  = phase shift in path B

$x_3$  = transmission coefficient of feedback loop

$p$  = phase shift in feedback loop

Using equations 1-8, we can determine the value at any port with respect to the input. These values are determined by the following equations:

NOTE: these equations assume that  $r$  and  $t$  satisfy equation 5.

$$d = 1 - x_1 x_3 r_1 t_2 e^{i(p+\alpha_1+\pi/2)} - x_2 x_3 r_2 t_1 e^{i(p+\alpha_2+\pi/2)} \quad (9)$$

$$E_2 = \frac{1}{d} (x_1 x_3 t_1 t_2 e^{i(p+\alpha_1)} - x_2 x_3 r_1 r_2 e^{i(p+\alpha_2)}) E_1 \quad (10)$$

$$E_3 = \frac{1}{d} (t_1 - x_2 x_3 r_1 r_2 e^{i(p+\alpha_2+\pi/2)}) E_1 \quad (11)$$

$$E_4 = \frac{1}{d} (r_1 e^{i\pi/2} + x_1 x_3 t_2 e^{i(p+\alpha_1)}) E_1 \quad (12)$$

$$E_5 = \frac{1}{d} (x_1 t_1 e^{i\alpha_1} - x_1 x_2 x_3 r_2 e^{i(p+\alpha_1+\alpha_2+\pi/2)}) E_1 \quad (13)$$

$$E_6 = \frac{1}{d} (x_2 r_1 e^{i(\alpha_2+\pi/2)} + x_1 x_2 x_3 t_2 e^{i(p+\alpha_1+\alpha_2)}) E_1 \quad (14)$$

$$E_7 = \frac{1}{d} (x_1 t_1 t_2 e^{i\alpha_1} - x_2 r_1 r_2 e^{i\alpha_2}) E_1 \quad (15)$$

$$E_8 = \frac{1}{d} (x_1 t_1 r_2 e^{i(\alpha_1+\pi/2)} + x_2 r_1 t_2 e^{i(\alpha_2+\pi/2)} + x_1 x_2 x_3 e^{i(p+\alpha_1+\alpha_2)}) E_1 \quad (16)$$

We can use equation (16) to describe the overall characteristics of the device. We will define the transmittance of the device by:

$$T = \left| \frac{E_8}{E_1} \right|^2 \quad (17)$$

and the net optical phase shift by:

$$\phi = \tan^{-1} \left| \frac{\text{Im}(E_8/E_1)}{\text{Re}(E_8/E_1)} \right| \quad (18)$$

#### IV. SUPPLEMENTAL PATH DESIGN

Two simple methods for implementing the supplemental paths are shown in Figures 2 and 3. The method of Figure 2 could be used when a more sensitive fiber is required in order to detect changes in  $Z$  or  $\alpha_z$ . The transmission coefficient and phase for this method is described by the following equation:

$$\frac{E_3}{E_1} = \frac{t - Ze^{i\alpha_z}}{1 - tZe^{i\alpha_z}} \quad (19)$$

For the case of very strong coupling,  $t = 0$ , the transmission coefficient and phase are completely determined by the loop characteristics:

$$\frac{E_3}{E_1} = -Ze^{i\alpha_z} = Ze^{i(\alpha_z + \pi)} \quad (20)$$

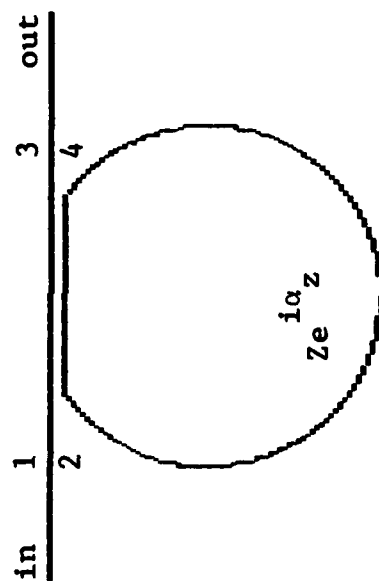


Figure 2: Two Fiber Supplemental Path Design

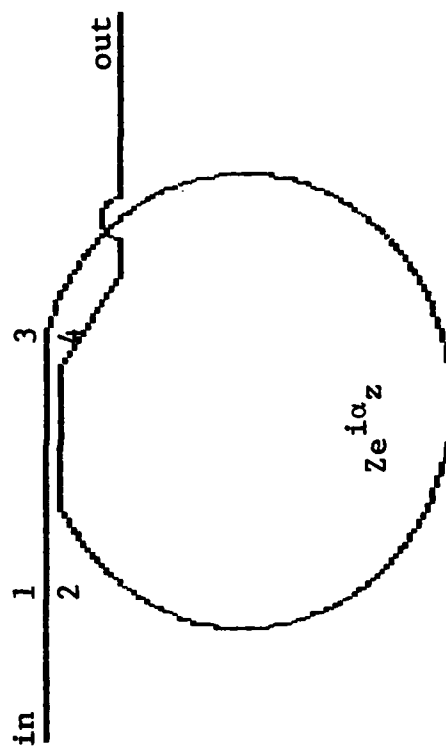


Figure 3: Single Fiber Supplemental Path Design

The method of Figure 3 is simply a way to introduce a phase shift without splicing or reflection loss. The transmission coefficient and phase for this method is described by the following equation:

$$\frac{E_4}{E_1} = \frac{ir + Ze^{i\alpha_z}}{1 - irZe^{i\alpha_z}} \quad (21)$$

For the case of zero coupling,  $r=0$ , once again the output is completely determined by the loop characteristics:

$$\frac{E_4}{E_1} = Ze^{i\alpha_z} \quad (22)$$

## V. DEVICE OUTPUT CHARACTERISTICS

The characteristics described in this section are based on the ideal case of zero coupler loss. Coupler loss effects will be discussed in the implementation section. We also assume that the device is under coherent excitation and the coherence length is longer than the resonant cavity length.

The transmittance of this device exhibits two fundamental output characteristics. There may be a dip in a relatively high output or a peak in a relatively low output. These both occur as a function of the coupling



values, transmission coefficients  $X_1$  and  $X_2$ , and the loop phase  $P$ .

#### A. Dip in the Transmittance

This condition exists when the device is configured to give a high off-resonance transmittance, as shown in Figure 4. When the proper phase in the loop is achieved, the loop power builds up while the output power decreases. This dip is produced under two different device configurations yet their characteristics are very similar.

In the first case, the second coupler, CP2, acts to enhance the less than complete coupling of CP1. For this condition we set  $X_1 = X_2 = 1$  and  $\alpha_1 = \alpha_2 = 0$ . Physically, this represents the removal of the supplemental paths. Examination of equations (9) and (16) show that resonance occurs for  $P = 3\pi/2$ , and under this condition the transmittance becomes:

$$T = \left| \frac{i(t_1 r_2 + r_1 t_2 - X_3)}{1 + iX_3(r_1 t_2 + r_2 t_1)} \right|^2 \quad (23)$$

At this point it is interesting to relate equation (23) to the transmittance of a single coupler resonator. We can do this by letting  $r_2 = 0$  and  $t_2 = 1$  [9]:

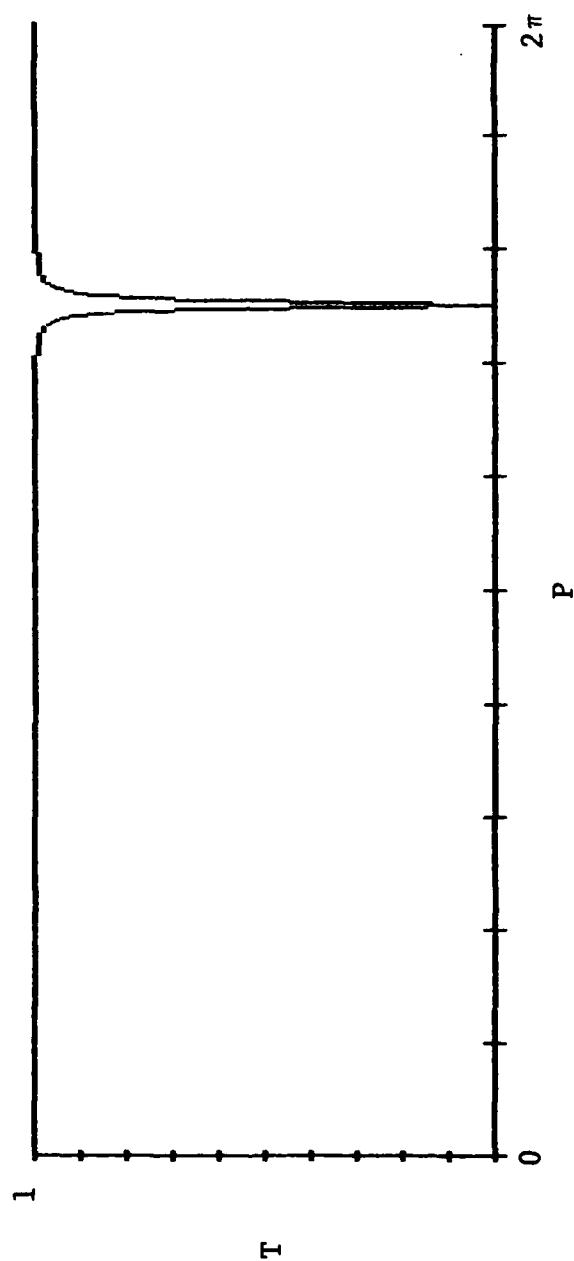


Figure 4: Dip in the Transmittance

$$T_S = \left| \frac{i(r_1 - X_3)}{1 + iX_3r_1} \right|^2 \quad (24)$$

For this case the minimum occurs when  $r_1 = X_3$ . However, when the coupling value of  $r_1$  is not comparable to  $X_3$ , the resonator finesse and dip magnitude are degraded. Fortunately, the addition of a second coupler, as illustrated in Figure 1, can be used to enhance the first coupler. Referring to equation (23), the new condition for transmittance minimum is:

$$t_1r_2 + r_1t_2 = X_3 \quad (25)$$

Assuming zero coupler loss, we can use energy conservation to rewrite equation (25):

$$r_2\sqrt{1 - r_1^2} + r_1\sqrt{1 - r_2^2} - X_3 = 0 \quad (26)$$

By inserting the known values of  $r_1$  and  $X_3$  we can find the required value for  $r_2$ . As an illustration, consider the following example:

NOTE: The loop power ratio is the ratio of power in the feedback loop to the input power.

Single Coupler Resonator:

$$X_3 = .9999$$

$$r = .995$$

$$t = .0998749$$

$$\text{finesse} = 617.245$$

$$\text{transmittance minimum} = .923287$$

$$\text{loop power ratio maximum} = 383.581$$

Enhanced Coupler Resonator:

$$r_2 = .086 \text{ (from equation 26)}$$

$$t_2 = .996295$$

$$\text{finesse} = 21,000$$

$$\text{transmittance minimum} = .000217$$

$$\text{loop power ratio maximum} = 5,000$$

This increase in finesse causes the magnitude of the transmittance minimum to become more sensitive to the loss in the loop. Figures 5 and 6 are plots of the transmittance minimum versus  $X_3$  for the single and enhanced resonator configurations respectively.

The second case of dip in the output occurs when the coupling at CP1 equals the coupling at CP2 such that:

$$r_1 t_2 = r_2 t_1 = A \quad (27)$$

The associated device parameters are as follows:  $X_1 = X_2 = 1$  and  $\alpha_1 = \alpha_2$ . At resonance the transmittance is given by equation (23). However, in this case we note that the transmittance minimum occurs for:

$$A = X_3/2 \quad (28)$$

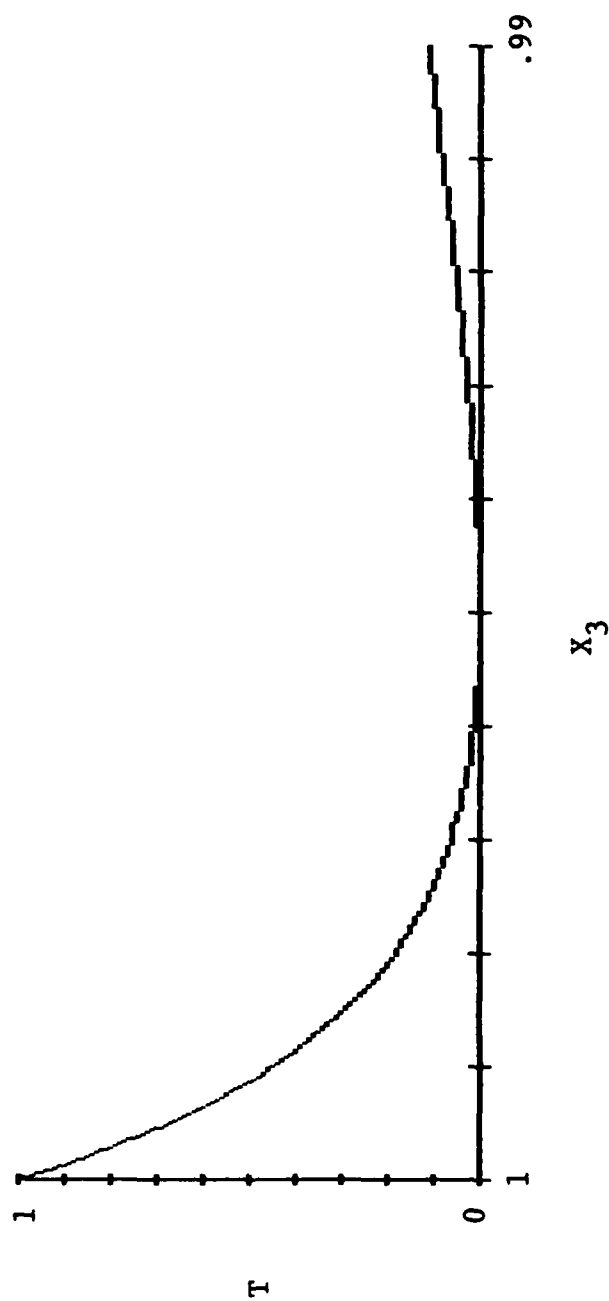


Figure 5: Transmittance at Resonance vs.  $X_3$  for the Single Coupler Resonator

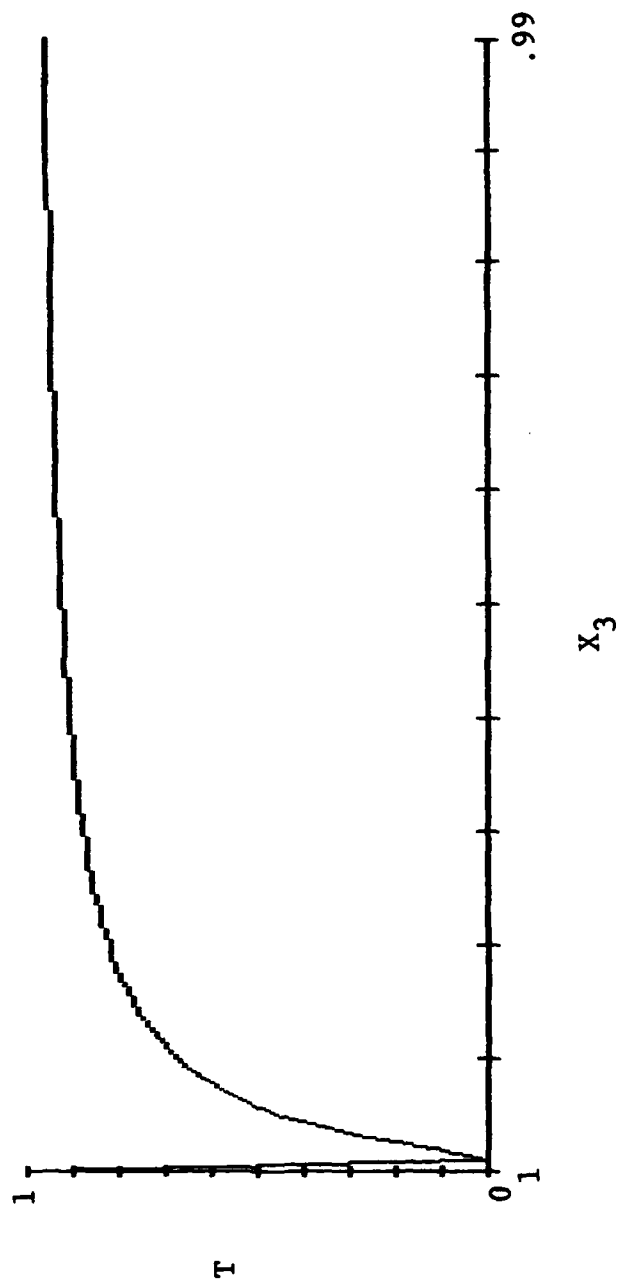


Figure 6: Transmittance at Resonance vs.  $X_3$  for the Enhanced Coupler Resonator

Knowing that the coupling values are equal and applying conservation of energy we can derive the following relationship between  $r$  and  $X_3$ :

$$r^4 - r^2 + \frac{X_3}{4} = 0 \quad (29)$$

Equation (29) shows that for any fiber loss we can find an appropriate coupling value which will minimize the resonant transmittance. An  $X_3$  of .9999 yields a coupling value of  $r = .712$  while  $X_3 = .99$  yields  $r = .7553$ . Figures 7 and 8 show the plots of transmittance minimum versus  $X_3$  for  $r = .712$  and  $r = .7553$  respectively. Unfortunately, the finesse is still dominated by the loop transmission  $X_3$ . Therefore, even though we can optimize  $r$ , the finesse continues to degrade as  $X_3$  decreases. This is illustrated by the following example:

$$\underline{X_3 = .9999}$$

$$r = .712$$

$$t = .7022$$

$$\text{finesse} = 21,000$$

$$\text{transmittance minimum} = .000328$$

$$\text{loop power ratio maximum} = 4,998$$

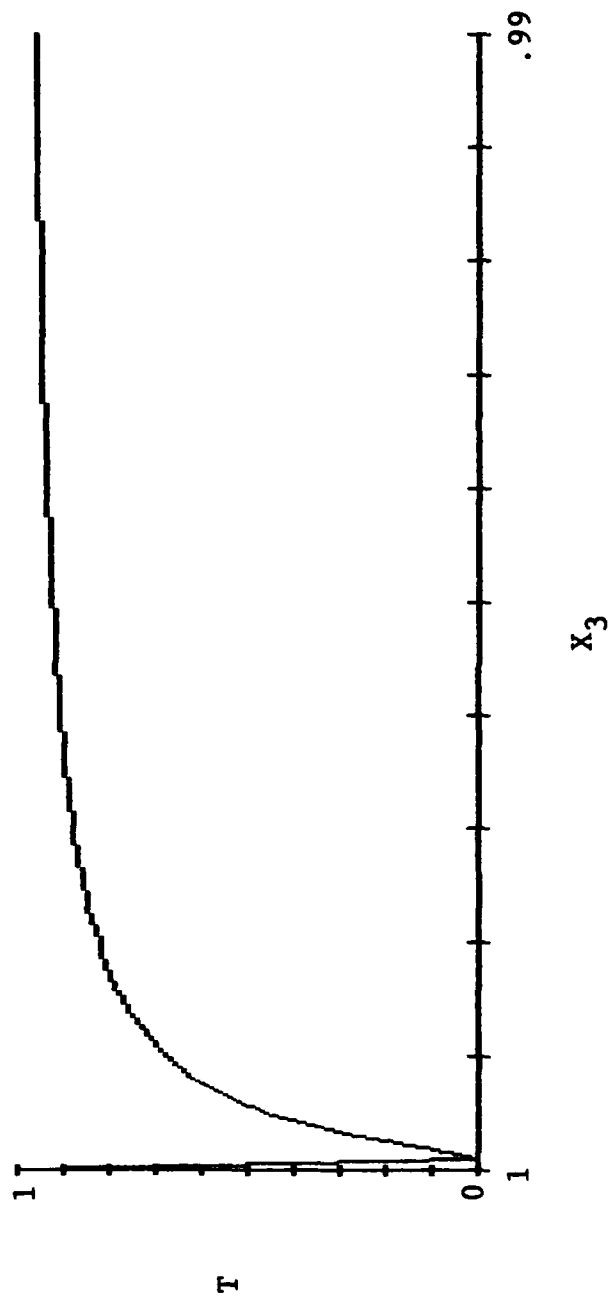


Figure 7: Transmittance at Resonance vs.  $X_3$  for the Equivalent Coupler Resonator,  $r = .712$ .



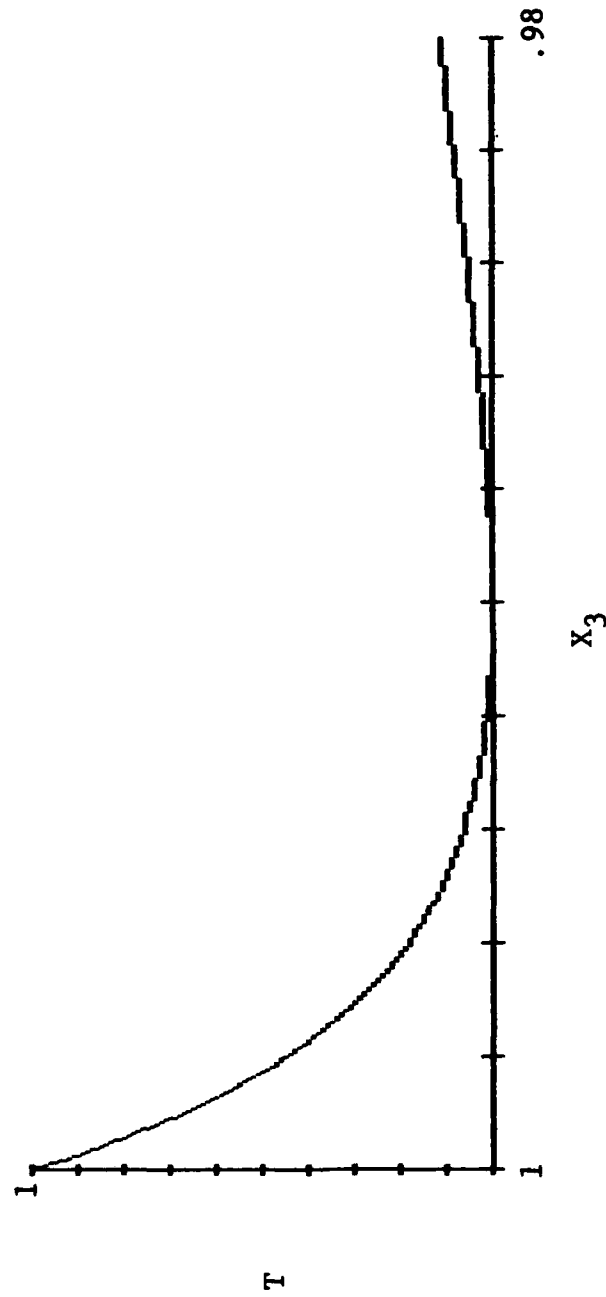


Figure 8: Transmittance at Resonance vs.  $X_3$  for  
The Equivalent Coupler Resonator,  $r = .7553$

$$\underline{x_3 = .99}$$

$$r = .7553$$

$$t = .65538$$

$$\text{finesse} = 165$$

$$\text{transmittance minimum} = 6.343 \times 10^{-7}$$

$$\text{loop power ratio maximum} = 50.25$$

Up to this point we have assumed that  $\alpha_1 = \alpha_2$ ; however, the transmittance varies when this is not true. For  $\alpha_1 \neq \alpha_2$  the location of the transmittance minimum changes with respect to  $P$  as a function of  $|\alpha_1 - \alpha_2|$ . In addition, as the location of the minimum changes, the magnitude of the transmittance minimum as well as the finesse decreases. This is illustrated in Figure 9 where  $\alpha_1$  is held constant and  $\alpha_2$  varies as shown.

#### B. Peak in the Transmittance

This unique condition exists when the device is configured to give a low off-resonance transmittance. Figure 10 shows a plot of this output. The device parameters which cause this output are listed below:

- "strong" coupling at CP1,  $r_1 > .9$
- "weak" coupling at CP2,  $r_2 < .1$
- $x_1 = 1, x_2 = 0$
- $\alpha_1$  arbitrary

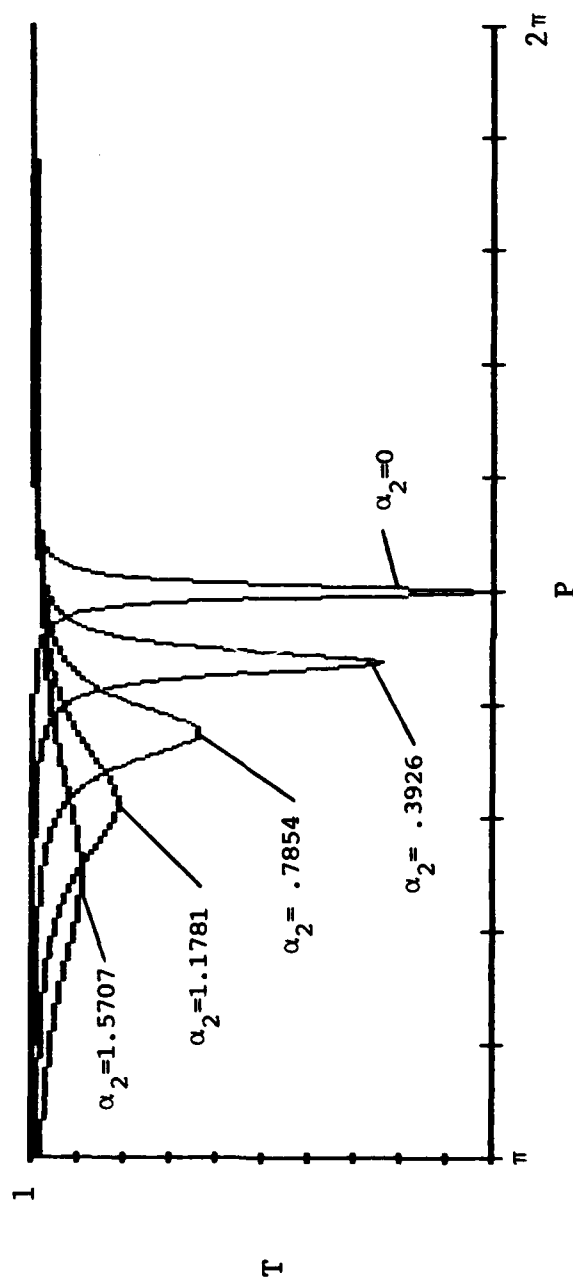


Figure 9: Transmittance for Variation in  $|\alpha_1 - \alpha_2|$   
 $\alpha_1 = 0$  and  $\alpha_2$  is as indicated. Values are  
in radians.

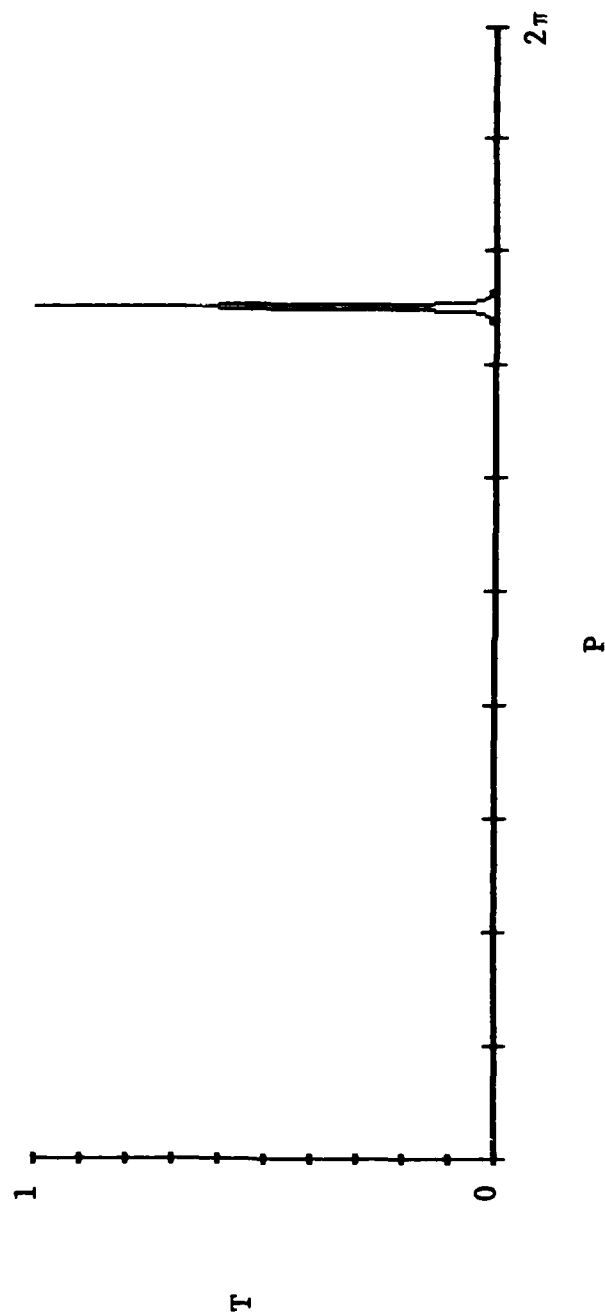


Figure 10: Peak in the Transmittance

For the parameters listed above and at resonance, the transmittance is given by:

$$T = \left| \frac{r_2 t_1}{1 - x_3 r_1 t_2} \right|^2 \quad (30)$$

Solving this equation for maximum transmittance,  $T = 1$ , and applying conservation of energy yields the following relationship:

$$r_2 \sqrt{1 - r_1^2} + x_3 r_1 \sqrt{1 - r_2^2} - 1 = 0 \quad (31)$$

Unfortunately, this equation only has real solutions when  $x_3 = 1$ . The effect of  $x_3$  on equation (31) is illustrated by the following calculations:

$$\underline{x_3 = 1, r_1 = .995}$$

From equation (31),  $r_2 = .099878$

$$r_2 \sqrt{1 - r_1^2} + x_3 r_1 \sqrt{1 - r_2^2} - 1 = 0$$

$$\underline{x_3 = .9999, r_1 = .995}$$

$$r_2 = .099878$$

$$r_2 \sqrt{1 - r_1^2} + x_3 r_1 \sqrt{1 - r_2^2} - 1 = -1 \times 10^{-4}$$

The previous calculations confirm that although we can minimize equation (31) for  $X_3 \neq 1$ , real solutions do not exist unless  $X_3 = 1$ .

Finally, variation of the phase  $\alpha_1$  just changes the location of the peak with respect to P. The magnitude and finesse are unaffected by this variation.

#### VI. EFFECTS OF MODULATING P

Modulation of the phase shift P in the feedback loop represents a simple method of exploiting the characteristics described in Section V. Simple sinusoidal modulation, for example, with a PZT crystal results in a multiple dip/peak output in the transmittance waveform. The general form of this modulation is:

$$P = A \sin (wt + \phi) + B \quad (32)$$

The amplitude coefficient A determines the number of dips/peaks in the output waveform. It also has a small effect on the dip/peak width due to its effect on the slope of the sinusoid.  $\phi$  is a phase bias which determines the zero crossing point of the sinusoid. This affects the dip/peak width and the distance between dips/peaks. The phase bias B, which is external to the sinusoid, effectively centers the modulation about some

desired point. Changes in  $B$  have approximately the same effect as changes in  $\phi$ .

It is apparent that even this simple modulation scheme can have complicated effects on the transmittance waveform. For our calculations we will let  $w = 1$ ,  $f = 1/2 \pi \text{ Hz}$ , and therefore our time scale will be in seconds. In the applications section, the applied modulation will be:

$$P = 3.5 \cos(t) - \pi/2 \quad (33)$$

This form is convenient in that it is centered at the resonance point and produces a transmittance waveform with a period equal to  $\pi$  seconds. In addition, the dip/peak resolution is identical to that produced under a ramp phase modulation.

The Fourier analysis in the applications section is based on this modulation scheme. A method for determining the spectrum for general modulation is given in the Appendix.

## VII. IMPLEMENTATION CONSIDERATIONS

This section contains information necessary for the realistic computer simulation of this device and its actual implementation. In previous sections two

primary sources of loss had been ignored, coupler loss and fiber attenuation. Data gathered from the literature will be used to incorporate these losses into our calculations. The resulting information will then be representative of the current state of the art and model a realistic device.

Recent advances in optical fiber fabrication technology has resulted in a new generation of low loss fiber [4, 5]. To avoid placing too severe a restriction on the device design, we chose a fairly common fiber loss value of .2 db/km [13]. Choosing a fiber loop length of one meter makes the loop transmission  $X_3$  equal .99997697. Therefore in our calculations we will use the value:

$$X_3 = .9999 \quad (34)$$

Coupler loss as it applied to a one coupler resonator was discussed in Section II. Since our device consists of two couplers with different coupling values, we will refer to reference [7] for the coupler loss values. Given the desired  $r$ , the corresponding  $\gamma$  can be inserted into equation (1) to determine the required  $t$  value. These numbers will then be used in the transmittance calculations.



When coupler loss values are included in the energy conservation equation, then real solutions do not exist for equations (26), (29), and (31). However, optimum values can be found and these will be used in our calculations. From this point on the various resonator configurations, optimized with losses included, will be referred to by the following designations:

Configuration A (Single Coupler, Transmittance Dip)

$$r = .995$$

$$t = .09206$$

$$\gamma = .15\%$$

Configuration B (Transmittance Dip)

$$r_1 = .995$$

$$r_2 = .09$$

$$t_1 = .09206$$

$$t_2 = .99368$$

$$\gamma_1 = .15\%$$

$$\gamma_2 = .45\%$$

Configuration C (Transmittance Dip)

$$r_1 = r_2 = .712$$

$$t_1 = t_2 = .7$$

$$\gamma_1 = \gamma_2 = .3\%$$

Configuration D (Transmittance Peak)

$$r_1 = .998$$

$$r_2 = .0632$$

$$t_1 = .04996$$

$$t_2 = .99549$$

$$\gamma_1 = .15\%$$

$$\gamma_2 = .5\%$$

In Section V we examined the characteristics for configurations A, B, and C without losses. The characteristics with losses included are listed below:

	A	B	C
finesse	617.00	1023.0	976.00
transmittance minimum	0.9733	0.8754	0.8806
loop power ratio maximum	325.00	0.3861	27.000

It is interesting to note that configurations B and C are greatly affected by the addition of coupler loss. This is because the lower coupling values required have a correspondingly higher coupler loss. Nevertheless, they represent a significant improvement over the single coupler design. Plots of the transmittance minimum versus  $X_3$  for configurations A, B, and C are shown in Figures 11, 12, and 13 respectively.

The most surprising result of these calculations was the decline in loop power ratio maximum for configurations B and C. Although the finesse is approximately 166 percent of configuration A, the loop power ratio is less than 1 percent of configuration A.

Configuration D was discussed in Section V and it was determined that even with zero coupler loss, real solutions for  $r_2$  exist only when  $X_3 = 1$ . Therefore,

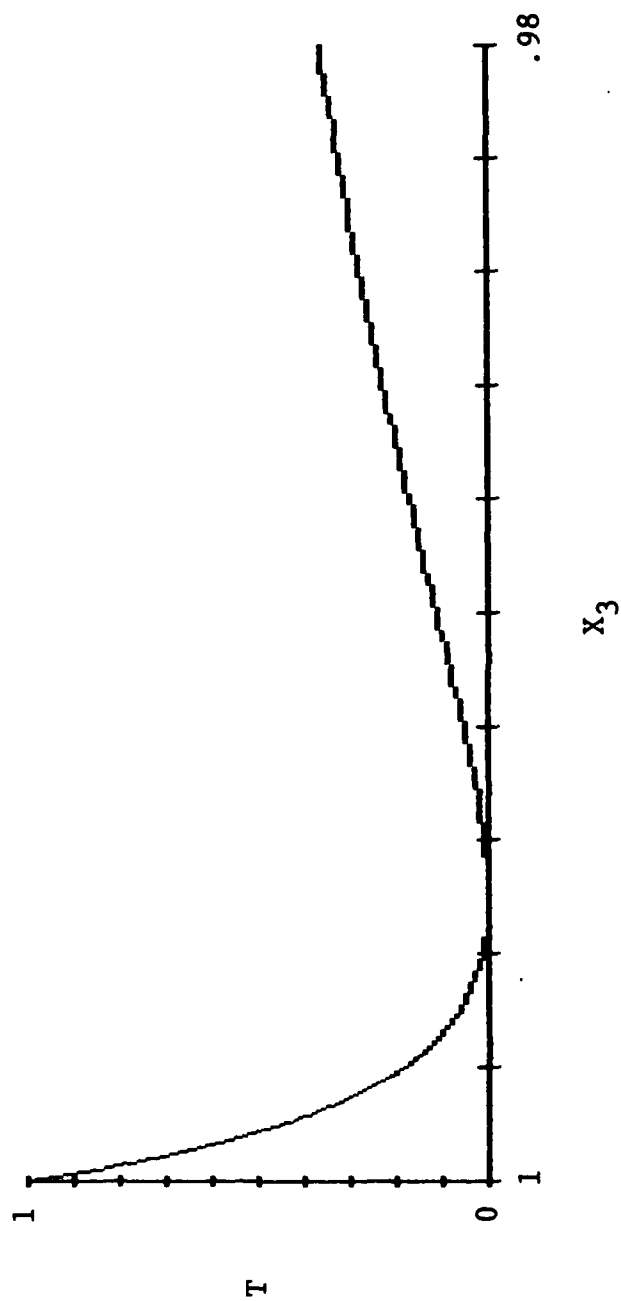


Figure 11: Transmittance at Resonance vs  $X_3$  for Configuration A.

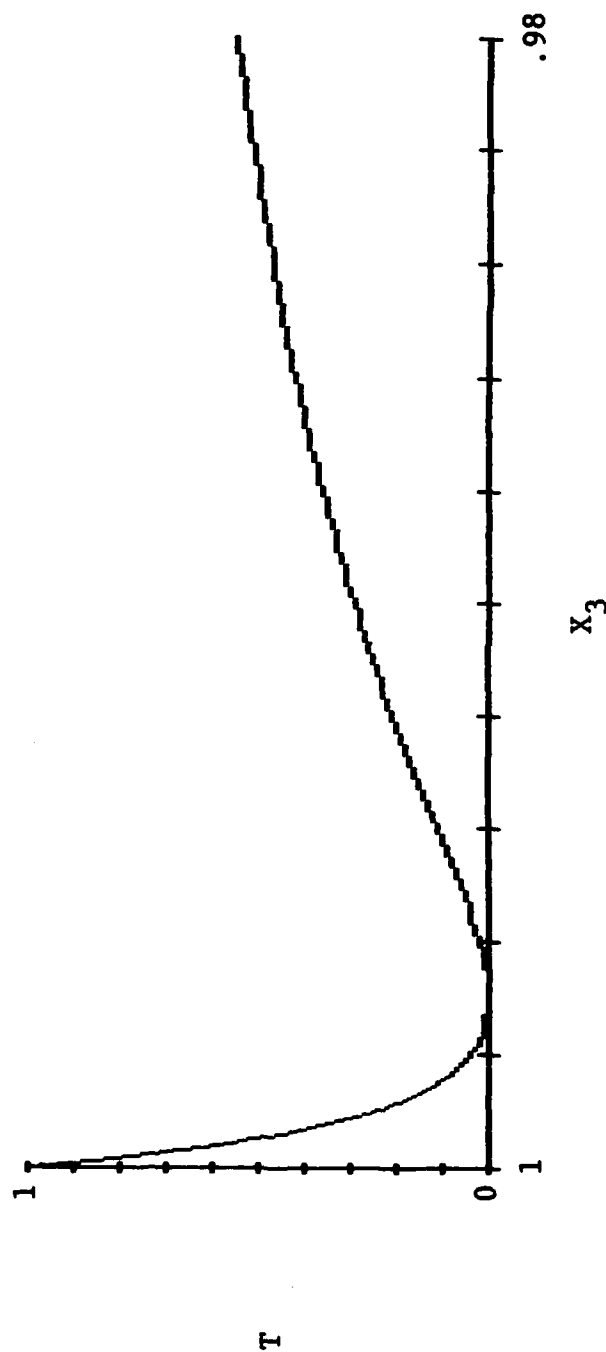


Figure 12: Transmittance at Resonance vs  $X_3$  for Configuration B.

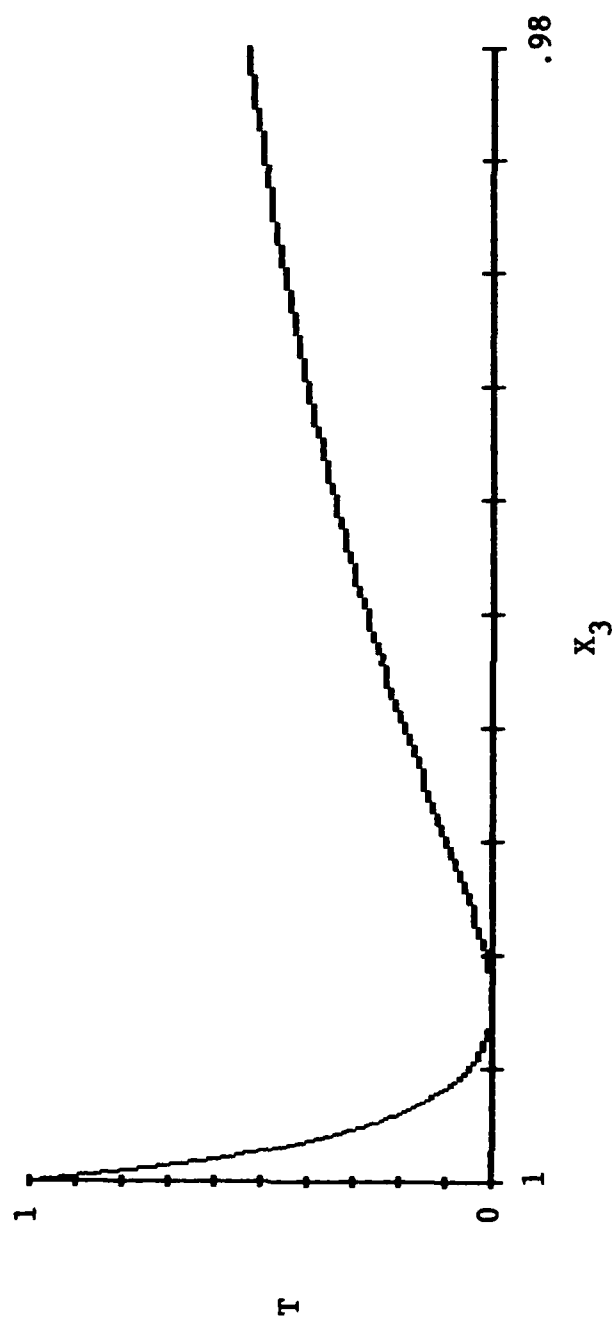


Figure 13: Transmittance at Resonance vs  $X_3$  for Configuration C.

we assumed a one centimeter loop length which yields  $X_3 = .9999995$ . Comparison of the idealized calculations to the realistic ones are shown below:

Ideal Case ( $X_3 = 1$ )

$r_1 = .998$	$r_2 = .0632$
$t_1 = .0632$	$t_2 = .998$
$\gamma_1 = 0$	$\gamma_2 = 0$

finesse = 791

transmittance maximum = 1.0

loop power ratio maximum = 250

Realistic Case ( $X_3 = .9999995$ )

Configuration D

finesse = 482

transmittance maximum = .236

loop power ratio maximum = 59

Therefore, it does not appear that this configuration has any immediately useful characteristics.

## VIII. APPLICATIONS

It is obvious that this device has a number of potential applications. In this section we will examine the use of configurations B and C as microbend

sensors. Potential application as a laser gyroscope will also be introduced.

Microbend sensors are based on producing a change in transmission along an optical path. This change in transmission is proportional to some applied force and is due to the microbends induced in the fiber by that force. In reference [16], an analysis of the change in transmittance of a fiber due to a single microbend is reported. The study reports an approximately quadratic relationship between insertion loss in dB and microbend amplitude in micrometers. Applying a quadratic best fit to the tabular data we can approximate the insertion loss for small distortion amplitudes. This relationship is given by:

$$IL = (2.5333 \times 10^{-4}) DA^2 + (6.6667 \times 10^{-5}) DA \quad (35)$$

where: IL = insertion loss in dB  
DA = distortion amplitude in um

If we assume that this insertion loss takes place in our feedback loop, then we can relate IL to  $X_3$  by the following equation:

$$IL = -10 \log \left| \frac{X_3^2}{.9998} \right| \quad (36)$$

Finally, we can use equations (36) and (35) to write a quadratic equation in terms of  $X_3$  and DA only:

$$DA^2 + (263.1596 \times 10^{-3}) DA + \frac{10 \log \left| \frac{X_3^2}{.9998} \right|}{(2.5333 \times 10^{-4})} = 0 \quad (37)$$

Using equation (37), we can determine the relationship between the distortion amplitude of a single microbend in our feedback loop and the change in output transmittance. Referring to Figures 5 and 6, we see that both configurations B and C are approximately linear over the range  $X_3 = .9999$  to  $X_3 = .999$ . Tables 1 and 2 show the variation in transmittance versus the loop transmission  $X_3$  for both configurations. Using equation (37), this range compares to a distortion amplitude range of 0 to 9.85 micrometers. If we assume a relatively low input power of 1mW, then the output power ranges are listed below:

Configuration B: .875416 mW to .250749 mW

Configuration C: .880633 mW to .267967 mW

The sensitivity of this device is limited theoretically by the quantum noise limit and realistically by the system noise. Since the system noise is



TABLE 1. CONFIGURATION B

<u>LOOP X3</u>	<u>TRANSMITTANCE</u>	<u>LOOP X3</u>	<u>TRANSMITTANCE</u>
0.999900	0.875415917980	0.999450	0.477098139705
0.999882	0.854678049932	0.999414	0.465406410787
0.999864	0.834421670525	0.999414	0.453974534365
0.999828	0.795304326216	0.999378	0.431866486022
0.999810	0.776419753966	0.999360	0.421178784610
0.999792	0.757969430036	0.999342	0.410727866912
0.999774	0.739942412349	0.999324	0.400508343438
0.999756	0.722328082158	0.999306	0.390514966571
0.999738	0.705116132933	0.999288	0.380742626246
0.999720	0.688296559685	0.999270	0.371186345779
0.999702	0.671859648710	0.999252	0.361841277835
0.999684	0.655795967728	0.999234	0.352702700547
0.999666	0.640096356409	0.999216	0.343766013752
0.999648	0.624751917261	0.999198	0.335026735368
0.999648	0.624751917261	0.999198	0.335026735368
0.999630	0.609754006868	0.999180	0.326480497894
0.999612	0.595094227462	0.999162	0.318123045017
0.999594	0.580764418814	0.999144	0.309950228349
0.999576	0.566756650432	0.999126	0.301958004261
0.999558	0.553063214055	0.999108	0.294142430830
0.999540	0.539676616423	0.999090	0.286499664887
0.999522	0.526589572314	0.999072	0.279025959156
0.999504	0.513794997849	0.999054	0.271717659498
0.999486	0.501286004032	0.999036	0.264571202237
0.999468	0.489055890535	0.999018	0.257583111578
0.999450	0.477098139705	0.999000	0.250749997107

TABLE 2. CONFIGURATION C

<u>LOOP X3</u>	<u>TRANSMITTANCE</u>	<u>LOOP X3</u>	<u>TRANSMITTANCE</u>
0.999900	0.880632937486	0.999450	0.493466010325
0.999882	0.960694080204	0.999432	0.481942031258
0.999864	0.841198048476	0.999414	0.470663204232
0.999846	0.822133964038	0.999396	0.459624086000
0.999828	0.803491262739	0.999378	0.448819373570
0.999810	0.785259683985	0.999360	0.438243900023
0.999792	0.767429260581	0.999342	0.427892630459
0.999774	0.749990308971	0.999324	0.417760658096
0.999756	0.732933419839	0.999306	0.407843200491
0.999738	0.716249449080	0.999288	0.398135595891
0.999720	0.699929509099	0.999270	0.388633299708
0.999702	0.683964960446	0.999252	0.379331881108
0.999684	0.668347403754	0.999234	0.370227019718
0.999666	0.653068671988	0.999216	0.361314502439
0.999648	0.638120822970	0.999198	0.352590220365
0.999630	0.623496132188	0.999180	0.344050165800
0.999612	0.609187085858	0.999162	0.335690429379
0.999594	0.595186374251	0.999144	0.327507197277
0.999576	0.581486885252	0.999126	0.319496748510
0.999558	0.568081698158	0.999108	0.311655452322
0.999540	0.554964077691	0.999090	0.303979765661
0.999522	0.542127468239	0.999072	0.296466230728
0.999504	0.529565488282	0.999054	0.289111472610
0.999486	0.517271925038	0.999036	0.281912196985
0.999468	0.505240729275	0.999018	0.274865187902
0.999450	0.493466010325	0.999000	0.267967305627

almost impossible to predict, we will use the literature to determine a baseline value. In reference [21] a minimum detectable optical power of  $3.4 \times 10^{-12} \text{W}$  was reported. Using this as a guideline, we can approximate the sensitivity of our devices. Tables 3 and 4 list the variation in transmittance, for configurations B and C respectively, over the range  $X_3 = .9999$  to  $X_3 = .99989999$  in increments of  $1 \times 10^{-9}$ . Using equation (37) we find that one increment corresponds to a distortion amplitude of .13 nanometers. Assuming the same input power of 1mW, the corresponding change in output power is:

Configuration B:  $P = 1.16 \text{ nW per } .13 \text{ nanometers}$

Configuration C:  $P = 1.12 \text{ nW per } .13 \text{ nanometers}$

These values are well within current detectability ranges even with moderate noise in the system.

We will not attempt to relate the distortion amplitude to the required applied force since that is a function of the transducer design. However, we will point out that these values are for a single microbend and therefore a phenomenal increase in sensitivity can be expected when a typical microbend grating is used [2, 3].

TABLE 3. CONFIGURATION B

<u>LOOP X3</u>	<u>TRANSMITTANCE</u>	<u>LOOP X3</u>	<u>TRANSMITTANCE</u>
0.999000000	0.875675625265	0.999899995	0.875669832188
0.999899999	0.875674325733	0.999899994	0.875668532665
0.999899998	0.875673202341	0.999899993	0.875667409287
0.999899997	0.875672078955	0.999899992	0.875666285907
0.999899996	0.875670955573	0.999899991	0.875665162536
0.999899995	0.875669832188	0.999899990	0.875663863018

TABLE 4. CONFIGURATION C

<u>LOOP X3</u>	<u>TRANSMITTANCE</u>	<u>LOOP X3</u>	<u>TRANSMITTANCE</u>
0.999900000	0.880726767376	0.999899995	0.880721193499
0.999899999	0.880725685028	0.999899994	0.880720111157
0.999899998	0.880724440529	0.999899993	0.880718866669
0.999899997	0.880723358182	0.999899992	0.880717784327
0.999899996	0.880722275838	0.999899991	0.880716701995
0.999899995	0.880721193499	0.999899990	0.880715619661

As an example of how our system can increase the sensitivity of current microbend detection sensor systems we examine the data in reference [17]. This article reports the design of a wide-range strain sensor which uses a roller chain to impose microbends rather than the typical corrugated plate. The roller chain was used to increase the detection range, however it also reduced sensitivity. The authors reported a linear relationship between sensor displacement and light transmission. This relationship is given by the following equation:

$$D = \frac{\Delta T}{1.25} \quad (38)$$

where:  $D$  = linear displacement in inches  
 $\Delta T$  = change in light transmission.

If this transducer were placed in our one meter feedback loop, then equation (38) would become:

$$D = \frac{(.9999 - X_3)}{1.25} \quad (39)$$

Recalling that our linear range is approximately  $X_3 = .9999$  to  $X_3 = .999$ , equation (39) gives a displacement range of 0 to 18.3 micrometers. If we assume the same input power, 1mW, then the output power range is the same

as the previous application. The sensitivity can be approximated by referring to Tables 3 and 4, and plugging the increment value,  $x_3 = 1 \times 10^{-9}$ , into equation (39). This gives a displacement sensitivity of approximately 20.32 picometers. Therefore, by placing this low sensitivity transducer in our feedback loop, we now have an ultrasensitive linear displacement detector.

Because of fluctuations in the input power level, the use of intensity as the measurement parameter requires an additional isolated reference path for comparison. Should noise levels in the system become substantial enough to affect the required sensitivity, it may be necessary to use the spectrum of the transmittance output for detection. The magnitudes of the first 10 harmonics for configurations B and C are shown in Figures 14 and 15 respectively. The loop modulation is  $P = 3.5 \cos(t) - \pi/2$ . When we isolate a single harmonic for power measurement we eliminate a majority of the noise. If we assume a 1 mW power input then the power in the first harmonic versus  $x_3$  is as shown below:

$x_3$	Configuration B	Configuration C
.9999	.01822117 mW	.01821135 mW
.9998	.01845245 mW	.01843529 mW

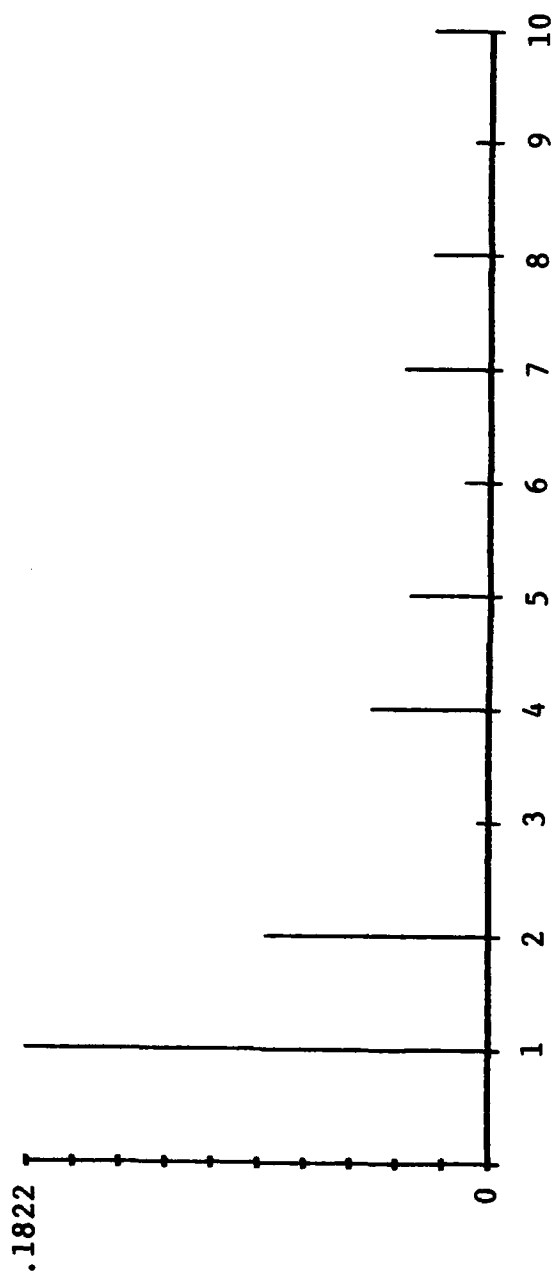


Figure 14: Magnitudes of the First 10 Harmonics for Configuration B.

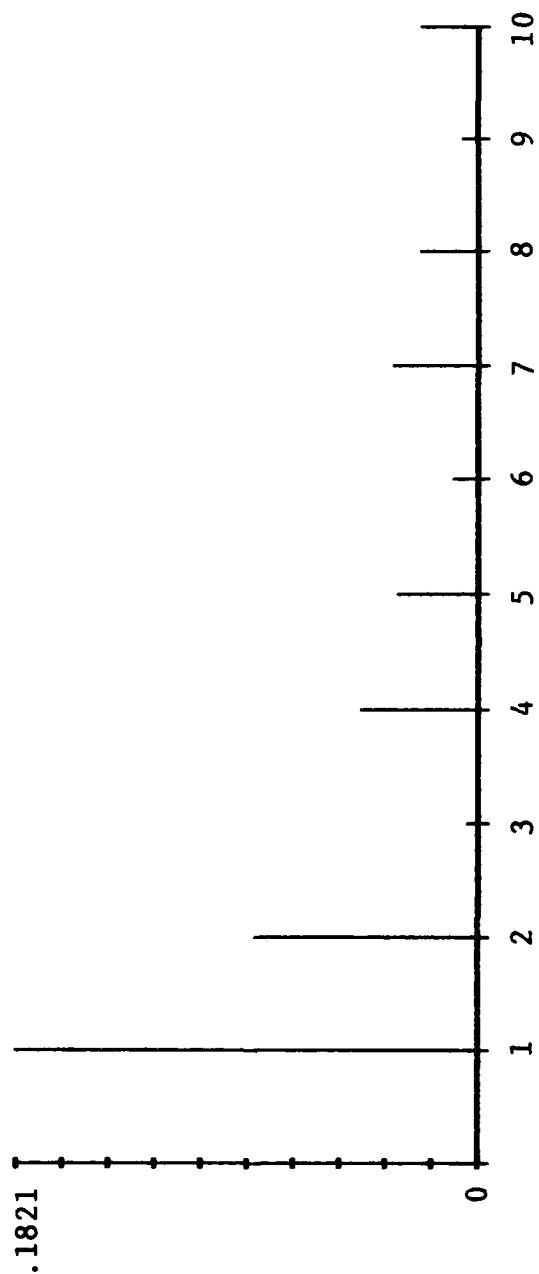


Figure 15: Magnitudes of the First 10 Harmonics for Configuration C.



As a comparison, the required power sensitivity for intensity detection and harmonic detection for configurations A, B, and C are shown below:

( $\Delta X_3 = 1 \times 10^{-8}$ , input power = 1 mW)

	<u>Intensity</u>	<u>Harmonic</u>
Configuration A	P = 7.37 nW	P = 17.7 pW
Configuration B	P = 11.66 nW	P = 25.9 pW
Configuration C	P = 11.20 nW	P = 25.9 pW

Although the spectrum detection method requires more sensitivity, it may be able to offset the effects of a noisy system.

Another possible application of this device is as a laser gyroscope. If we assume an axis of rotation normal to the feedback loop, then to the first order the Sagnac phase shift is given by:

$$\phi_s = \frac{4 \pi NA}{\lambda C} \Omega \quad (40)$$

where:  $\phi_s$  = Sagnac phase shift

A = the area of one turn in the coil

N = number of turns in the coil

$\lambda$  = free space wavelength

C = speed of light in free space

$\Omega$  = angular velocity

Equation (40) shows that the magnitude of the induced phase shift is directly proportional to the length of the loop. Using the same fiber as previously, we let the length of the loop be 130.5 meters which corresponds to an  $X_3$  of .997. If we examine the transmittance waveform with  $P = 3.5 \cos(t) - \pi/2$  over the range of  $t = 0$  to  $t = 2\pi$ , we notice there are two dips; one is located at  $t = \pi/2$  and the other at  $t = 3\pi/2$ . This is illustrated in Figure 16. Letting the loop rotate about its normal axis causes the modulation to become:

$$P = 3.5 \cos(t) - \pi/2 + \phi_s \quad (41)$$

The useful characteristic of configurations B and C is that the time between dips varies as a function of  $\phi_s$ . Listed below are the changes in time between dips versus small  $\phi_s$ :

$\phi_s$ (rad)	Configuration B	Configuration C
.001	$\Delta t = 5.717 \times 10^{-4}$	$\Delta t = 5.717 \times 10^{-4}$
.0001	$\Delta t = 5.65 \times 10^{-5}$	$\Delta t = 5.579 \times 10^{-5}$
.00001	$\Delta t = 7.39 \times 10^{-6}$	$\Delta t = 6.91 \times 10^{-6}$

If we use a source with  $\lambda = 1$  micrometer and a total loop length of 130.5 meters, then we can use equation (40) to calculate the corresponding rotation rates. If we assume

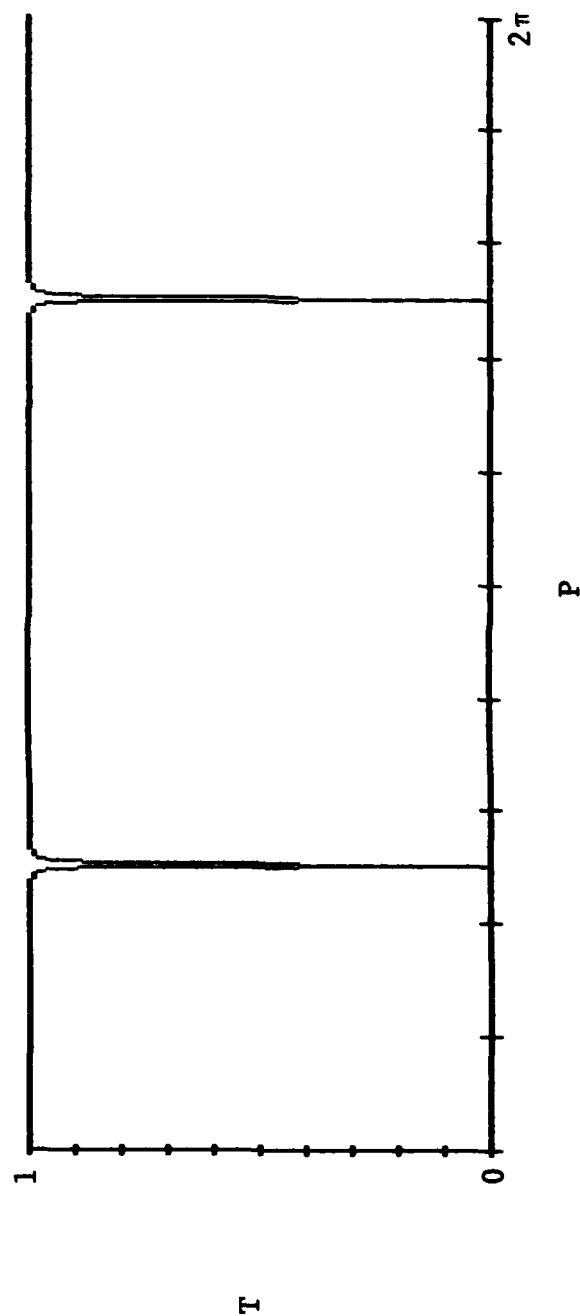


Figure 16: Transmittance vs P for the Modulation  
 $P = 3.5\cos(t) - \pi/2$

a modulation frequency of 1 KHz, then we have the following relationships between rotation rate and time change between dips:

$\Omega (10^{-6} \frac{\text{rad}}{\text{s}})$	Configuration B	Configuration C
17.600	$\Delta t = 571.70 \text{ ns}$	$\Delta t = 571.70 \text{ ns}$
1.760	$\Delta t = 56.50 \text{ ns}$	$\Delta t = 55.79 \text{ ns}$
.176	$\Delta t = 7.39 \text{ ns}$	$\Delta t = 6.91 \text{ ns}$

Since inertial rotation sensing requires sensitivities on the order of 1 microradian per second, our device is an ideal candidate for this type of application. The major benefit being the use of a single propagating beam which makes this device immune to the nonreciprocal effects that plague counterpropagating beam rotation sensors. Additionally, the low loop power at resonance will help to minimize the nonlinear noise in the system and improve stability.

#### IX. OPTICAL PHASE CHARACTERISTICS

Up to this point we have dealt only with the magnitude characteristics of the transmittance. In this section we briefly describe the optical phase characteristics that may bear further investigation.

At resonance, this device undergoes a  $2\pi$  phase shift. This is illustrated in figures 17 and 18 for configurations B and C respectively. It appears that each configuration has a different yet unique response to the phases in the supplemental paths  $\alpha_1$  and  $\alpha_2$ .

Configuration B has an optical phase shift which is directly proportional to the phase difference  $|\alpha_1 - \alpha_2|$ . This phase shift is given by the following simple equation:

$$\phi = \pi/2 + |\alpha_1 - \alpha_2| \quad (42)$$

If the supplemental paths are wound in opposite directions then the Sagnac induced phase shift in one path would be  $+\phi_s$  while the oppositely wound path would undergo a phase shift of  $-\phi_s$ . Referring to equation (42) we see that this configuration could operate as a laser gyroscope where the net optical phase shift is given by:

$$\phi = \pi/2 + 2\phi_s \quad (43)$$

where:  $\phi_s$  = Sagnac induced phase shift.

Since the optical output phase is directly proportional to the rotation induced phase shift, the sensitivity is limited only by our ability to detect small changes in optical phase.

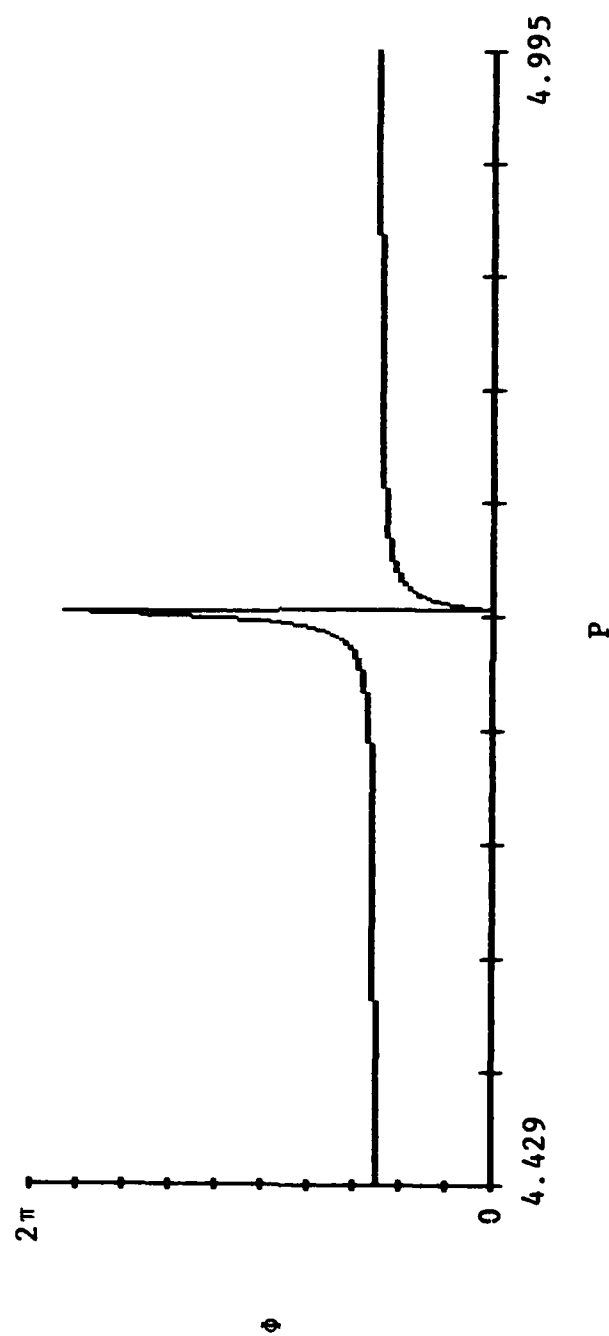


Figure 17: Optical Phase Shift vs  $P$  for Configuration B

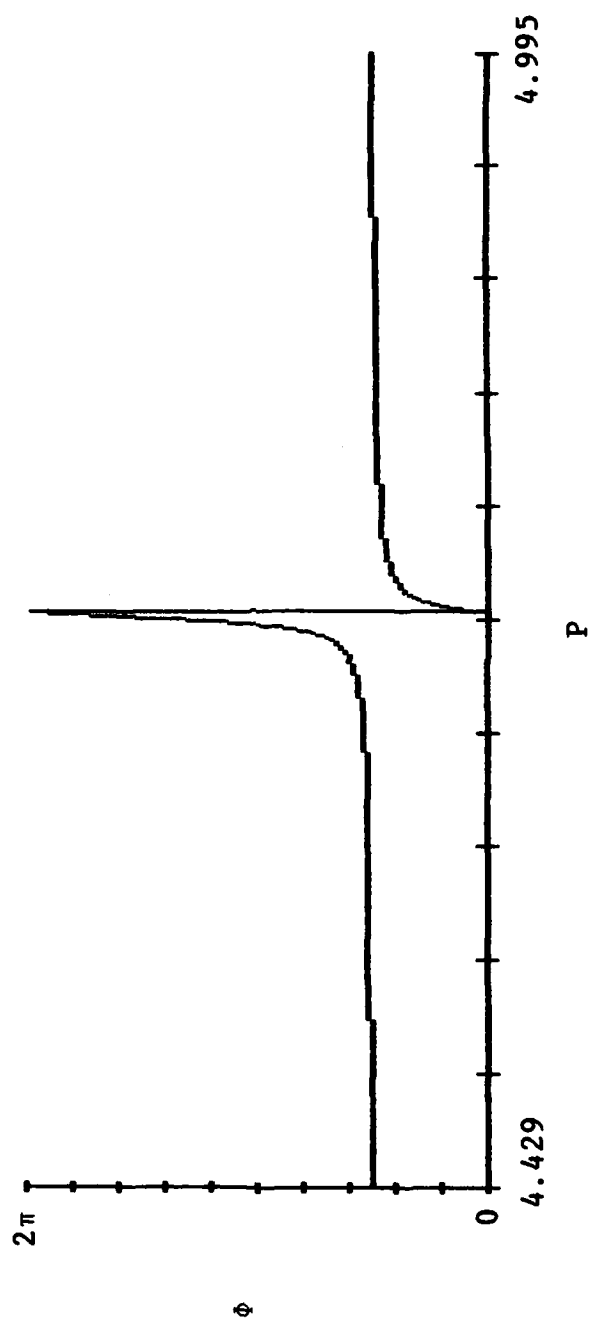


Figure 18: Optical Phase Shift vs  $P$  for Configuration C.

Configuration C also has an optical phase shift which is proportional to the phase difference  $|\alpha_1 - \alpha_2|$ . Unfortunately, there does not appear to be the same direct relationship shown in equation (43). There is however an additional relationship between the rate of change of the optical phase shift at resonance and the phase difference  $|\alpha_1 - \alpha_2|$ . Although the exact relationship is unknown, there appears to be a linear correspondence.

#### X. CONCLUSION

The two coupler resonator described in this thesis has a number of unique characteristics. It presents new ways to implement old concepts and introduces new phenomena with many potential applications.

State of the art single coupler resonators are constrained by the amount of light that can be coupled into the resonant loop. Our device presents two ways to overcome that restriction by introducing effective coupling values. These are listed below for configurations B and C respectively:

$$r_{\text{eff}} = r_1 t_2 + r_2 t_1 \quad (44)$$

$$r_{\text{eff}} = 2rt \quad (45)$$



Both configurations outperform the single coupler resonator yet appear to be equally simple to fabricate. Should a coupling technique be discovered that allows low coupling values and low loss then the performance of both configurations B and C will be phenomenal.

As is true of all resonators, this device is extremely sensitive to losses in the loop. That makes it particularly useful as a microbend sensor. In section VIII we showed a typical S/N limited sensitivity to a single microbend of amplitude .13 nanometers and in a separate case showed a sensitivity to a linear displacement of 20.32 picometers. Although these values were interpolated from the literature, they do indicate the potential capability of this device.

There are at least two ways this device can be used to detect rotation. Both are immune to the nonreciprocal effects suffered by rotation sensors using counter-propagating beams because they have low loop power maximums. This reduces the noise effects due to Rayleigh scattering and optical Kerr effect. In section VIII we discussed a way to detect rotation by measuring the time between dips. A rotation rate of .17 micro-radians per second corresponded to a time change of 7

nanoseconds. This is ideal for inertial rotation sensing. In section IX we stated that the optical phase shift in configuration B can be made directly proportional to twice the Sagnac phase shift. The sensitivity of this method is limited only by optical phase detection capability.

Although this thesis is theoretical in nature, much of the modeling is representative of experimental results reported in the literature. Therefore, upon fabrication, we should not expect to find a great deal of deviation from the values reported here. Because of the simplicity of the device, particularly configurations B and C, construction should be a straight forward process.

## APPENDIX

In general, the modulation of  $P$  in the feed-back loop will produce multiple dips/peaks in the output. Only in the special case used in this thesis are there just two dips/peaks a distance  $\pi$  apart. For the case of random distribution the period is  $2\pi$  and the following method can be used to calculate the spectrum.

1. Calculate the spectrum of a single dip/peak as if it were alone in the period.
2. Determine the time between the initial peak and every other peak within the period.
3. The total spectrum is calculated by the following equation:

$$G_t = g(w) \{1 + e^{-jw\tau_1} + e^{-jw\tau_2} + e^{-jw\tau_3} + \dots\} \quad (46)$$

where:  $g(w)$  = the spectrum for a single dip/peak.

$\tau_i$  = time between initial dip/peak and the  $i$ th dip/peak

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